

**CERTIFICATE OF PARTICIPATION
IN ANOTHER RETIREMENT PLAN**

The Emory University Retirement Plan (the "Plan") requires that an eligible employee complete one year of service in order to be eligible to receive any employer contributions to the Plan. However, the one year of service requirement is waived if the eligible employee completes this form certifying to the following:

(1) Immediately prior to employment with Emory University I participated in a retirement plan to which my employer made contributions on my behalf (other than elective or mandatory employee contributions);

(2) To the best of my knowledge such prior employer's plan was a tax qualified defined contribution or defined benefit plan that met the requirements of one of the following sections of the Internal Revenue Code of 1986, as amended:

- (i) § 401(a) (includes a 401(k) plan);
- (ii) § 403(b); or
- (iii) § 457(b) (and the Employer was a state or local government).

I certify that to the best of my knowledge all statements made above are true. I understand that any false information I knowingly provide may result in my immediate dismissal from Emory University and/or the forfeiture of any employer contributions to the Plan made prior to the date I would otherwise have been eligible for such contributions.

Date

Signature

Return to:
Benefits Dept.
1762 Clifton Road
Atlanta, GA 30322

Print Name

Social Security Number